

# SUPPLIER PERFORMANCE MONITORING POLICY



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## 1. DEFINITIONS

|   |  |
|---|--|
| <b>MUNICIPAL MANAGER</b>                            | In relation to a municipality, means the municipal official referred to in Section 60 of the Municipal Finance Management Act No. 56 of 2003; and includes a person acting as the Municipal Manager.   |
| <b>CIDB</b>   | Construction Industry Development Board  |
| <b>CLIENT DEPARTMENT</b>                            | The department that has requested the contract.  |
| <b>EMPLOYER'S REPRESENTATIVE</b>                    | Municipal Employee: Deputy Municipal Manager, General Manager, Senior Manager, and Manager. This person will be from the client department if an implementing department for the contract exists, otherwise, the Employee's representative will be from the implementing department. |
| <b>IMPLEMENTING DEPARTMENT</b>                      | The department that is implementing the contract on behalf of the client department in some cases the client and implementing departments will be the same.  |
| <b>MUNICIPALITY</b>                                 | uThukela District Municipality   |
| <b>MUNICIPAL SERVICE</b>                            | A service that a municipality in terms of its powers and functions provides to or for the benefit of the local community.  |
| <b>REPORTING OFFICER</b>                            | The municipal employee who is responsible for managing the service delivery agreement or contract and who will complete the performance assessment forms.  |
| <b>SERVICE DELIVERY AGREEMENT</b>                   | An agreement between a municipality and an institution or person is mentioned in section 76 (b) in terms of which a municipal service is provided by that institution or person, either to the Municipality or on behalf of the Municipality.  |
| <b>SERVICE PROVIDER PERFORMANCE ASSESSMENT FORM</b> | A form that identifies the competencies and key performance indicators that will be monitored and reported on  |
| <b>SERVICE PROVIDER</b>                             | Any person, institution, or company that provides a service to or on behalf of the municipality.   |
| <b>SUPPORTING SERVICE</b>                           | A service that is provided to support a municipal service.   |

## 2. PREAMBLE

In preparation of this policy, Performance Monitoring of Service Providers, the following were consulted:

- Legislation
- Regulations of Acts
- UTDM SCM Policies
- UTDM staff

This policy will only apply to contracts that have been awarded or reviewed after the adoption of this policy.

The implementation of the policy, once adopted, will be on all contracts value greater than R 200, 000.00.

## 3. PURPOSE

The purpose of this document is to set the framework for monitoring the performance of service providers of the uThukela District Municipality.

The Municipality's vision states, "Together in partnership, building a model Municipality of excellence" This Vision will be achieved by growing the economy and meeting people's needs so that all citizens enjoy a high quality of life with equal opportunities.

Service providers play a vital role in the performance of the organization as many municipal services and support services are outsourced to service providers. The Municipality is responsible for ensuring effective and efficient service delivery to the community. Therefore, there is a need to monitor the performance of service providers.

The objective of performance monitoring of service providers is to obtain a measure of the service provider's performance under the contract. Performance assessments during a contract helps both the Municipality and the service provider to reach a common understanding of the requirements of both parties about the work.

A rigorous reporting system is an excellent tool to provide feedback to a service provider on its performance on each project. It helps to identify areas that the Service Provider is excelling in and any areas that need improvement.

Performance reports can be used in the assessment of a service provider for pre-qualification, selective tender list, registration, tender evaluation, and — in the event of termination — for unsatisfactory performance under a contract.

Further, business support strategies and interventions can be appropriately tailored to achieve the government's developmental objectives for targeted enterprises.

The objectives of this policy are to:

- ensure a high quality of service is maintained.
- detect underperformance early, thereby reducing the risks to projects.
- create mechanisms to improve performance where targets are not being achieved.
- provide data to municipal officials so that informed decisions can be made.
- assist in the government's developmental objectives in the form of structuring appropriate business support strategies/interventions for targeted enterprises
- assess the suitability of a service provider for pre-qualification, selective tender lists, or expressions of interest.

#### 4. PROBLEM STATEMENT

A municipal service can be provided by the Municipality by entering into a Service Delivery Agreement in terms of Section 76(b) of the Municipal Systems Act 32 of 2000 with an external service provider.

The Municipality is responsible for monitoring and assessing the implementation of the agreement, including the performance of the service provider in accordance with section 41 of the Municipal Systems Act 32 of 2000.

The performance of service providers that have been selected to aid in the provision of a municipal service, otherwise than in circumstances where Chapter 8 of the Municipal Systems Act applies, is required, by Section 116 of the Municipal Finance Management Act, to be monitored and reported on.

While departments have mechanisms in place to monitor the work done by service providers, there is no standard guideline set by the Municipality.

This policy sets out the framework for monitoring and reporting on the performance of service providers.

## 5. POLICY

- (1) All service providers or prospective service providers must be made aware of:
- Assessment and reporting of a service provider's performance.
  - the use of the service provider performance reports when evaluating service providers for registration, pre-qualification, selective tender list, expressions of interest, or awarding of a contract; and
  - the exchange of information on service provider performance reports between government units/departments.
- (2) The appointed service provider must be given the opportunity to discuss the performance criteria with the Implementing Department/Project Manager before the commencement of the contract. Any amendments must be agreed to by the following parties:
- Service Provider
  - Client/Implementing Department

The decision to change a criterion after commencement of a contract is the responsibility of the Reporting Officer after consultation with the Head of the Department.

These amendments must be signed by the service provider and the implementing department and attached to the contract or service level agreement (SLA).

- (3) A service provider's performance must be assessed in the context of the project. The respective roles and obligations of the Municipality and service provider under the contract must be considered.
- (4) Persons preparing or reviewing the performance of a service provider must consider whether satisfactory progress or completion of a project has been affected by any matters which are:
- outside the service provider's control, or
  - the result of some action by the Municipality.

That is, evaluation against performance criteria must measure the service provider's performance, after considering matters beyond the service provider's control.

- (5) If there is evidence that the underperformance or non-performance of the service provider is due to factors caused by the Municipality then:
  - there will be no penalization of the service provider
  - the Municipal Manager, or delegated official, must take corrective action where necessary.
- (6) The performance assessment reports will be made available to inter-departmental, inter-governmental departments, and the CIDB (where applicable) only:
  - (a) on completion of a contract; and
  - (b) if there are no pending disputes.
- (7) The Service Provider must be notified of the assessment.
- (8) The Service Provider may respond to the assessment, in writing, and this must be filed with the assessment.
- (9) The conditions of appointment set in the contract will determine what action will be taken against the service provider if underperformance or non-performance is detected.
- (10) Appropriate key performance indicators for the contract must be set by the Municipality as a yardstick for measuring performance.
- (11) Measurable targets must be set for each key performance indicator.
- (12) The SMART principle as laid out in the Municipality's Organizational Performance Framework must be followed in developing key performance indicators.  
(Specific, Measurable, Achievable, Realistic, Time-framed)
- (13) The key performance indicators must be reviewed annually.
- (14) The management of the contract or agreement and the performance of the service provider must be reported quarterly to the Council of the Municipality –
- (15) The performance information must be audited.
  - (a) as part of the municipality's internal auditing process; and
  - (b) annually by the Auditor-General.

Support provided by the Municipality in underperforming areas.

- (16) The Municipality will facilitate support interventions to service providers in the identified areas of underperformance.
- (17) Service providers who have been identified as underperforming in identified areas must be informed of these support interventions.

- (18) The support interventions may be:
- (a) sponsored by the Municipality,
  - (b) subsidized by the Municipality; or
  - (c) paid for by the attending service provider.
- (19) Records of support interventions and attendance registers must be signed by all parties and filed.
- (20) The impact of the support interventions provided by the Municipality to the service provider must be monitored.

#### Roles and responsibilities of municipal officials

- (21) The table below outlines the roles and responsibilities of municipal officials.

| ROLE   | RESPONSIBILITY   |
|--|--|
| Reporting Officer  | <ul style="list-style-type: none"> <li>➤ Line Departments</li> <li>➤ Monitor and assess work done or service provided as per the service delivery agreement or contract.</li> <li>➤ Report on the performance of the service provider.</li> </ul>  |
| Reviewing Officer  | <ul style="list-style-type: none"> <li>➤ Review the assessment made by the reporting officer</li> </ul>  |
| Contract Management  | <ul style="list-style-type: none"> <li>➤ Manage the performance monitoring process.</li> <li>➤ Report on contract management and service provider performance to the council quarterly.</li> <li>➤ Report to Council annually on the performance of service providers.</li> <li>➤ Investigate and report on the impact of the interventions on areas of underperformance as part of the quarterly and annual reports.</li> <li>➤ Review the policy and process annually.</li> <li>➤ Liaise with the Business Support Unit on interventions for underperforming areas.</li> </ul> |
| Municipal Manager: Delegated to performance Management Unit. | <ul style="list-style-type: none"> <li>➤ Ensure that KPIs are included in the appropriate Individual Performance Plan of the Municipal Official.</li> <li>➤ Review the policy and process annually.</li> <li>➤ Facilitate the quarterly and annual reports for internal audit.</li> </ul>  |
| Internal Audit   | <ul style="list-style-type: none"> <li>➤ Audit the performance information.</li> </ul>   |
| Business Support Unit (Help Desk)                            | <ul style="list-style-type: none"> <li>➤ Facilitate support interventions to address the underperforming areas.</li> </ul>   |



## **6. PROCEDURE**

- (1) The requirements of this policy must be included in the contract of the service provider.
- (2) The performance of the contractor under the contract or agreement must be assessed monthly by the Reporting Officer.
- (3) The assessment must be filed in the contract file or captured onto the database.
- (4) The Reporting Officer must complete the Service Provider Assessment Form on the database at the end of each quarter and completion or termination of the contract.  
(for the Service Provider Assessment Form)
- (5) The Reviewing Officer must review the assessment on the database for each quarter.
- (6) The quarterly assessment must be completed within 15 working days after the end of each quarter.
- (7) The Reporting Officer must provide a copy of the assessment to the Service Provider at the end of each quarterly assessment period.
- (8) Contract Management Unit will review the quarterly Service Provider Assessments within 20 days after the end of each quarter. This process will include verification and may require a site visit.

## **7. POLICY EVALUATION AND REVIEW**

The policy will be reviewed annually by Contract Management and Legal Management. This will include a review of the:

- Policy Document
- Implementation Plan
- Electronic System
- The impact that the policy has had in the implemented area.

### **LEGISLATION CONSULTED**

- Municipal Systems Act, No.32 of 2000
- Municipal Finance Management Act, No 56 of 2003
- SCM Regulations
- uThukela District Municipality Policy
- Council
- Municipality Staff

## STANDARD PERFORMANCE CRITERIA AND EVALUATION

If the contract falls within the threshold of R200 000,00 and above, performance management should be performed. The performance criteria should be listed in the contract documents.

Projects of service delivery vary in terms of implementation; therefore, other performance criteria may be added to the ones indicated in this policy.

Directorates will be allowed to develop additional assessment criteria and such addition shall be incorporated in the contract document and approved by the Municipal Manager.

The recommended performance grading is outlined in this document.

Should it come to the attention of the Municipality during the assessment that there is a material breach of contract by the service provider, sanctions will be imposed against that service provider. Sanctions might include reporting the matter to National Treasury for blacklisting and South African Police Services if fraud and corruption have been detected.

## KEY PERFORMANCE AREAS

**Environmental Management**

| Description                     | Weight       | Scores               |
|---------------------------------|--------------|----------------------|
| <b>Environmental Management</b> | <b>0.5 %</b> | <b>&lt;0.5 =poor</b> |

The requirements for the environmental management on specific projects are set out in the environmental impact assessment report (EIA). Service providers must adhere to the guidelines and assessment will be done by the reporting officer on compliance with the guideline.

**Occupational Health and Safety Management**

| Description                                      | Weight       | Scores               |
|--|--------------|----------------------|
| <b>Occupational health and safety Management</b> | <b>0.5 %</b> | <b>&lt;0.5 =poor</b> |

Guidance on the OHS management system are set out in the contract documents.

At all times the duties and workplace OHS obligations as arising from the legislation/regulations and the approved safety plan take precedence in any contract or engagement.

#### Payment Obligations

| Description         | Weight | Scores   |
|---------------------|--------|--|
| Payment Obligations | 20 %   | <b>&lt; 10 = Poor</b><br><b>10= Acceptable</b><br><b>15= Good</b><br><b>15&lt; = Exceptional</b> |

The main contractor is to ensure that payments to subcontractors, suppliers, and laborers are made in a proper and timely manner. At no time is the main contractor permitted to adopt a payment practice of payment to be made when payment is received from the Municipality.

#### Guarantee/ sureties payment.

| Description                  | Weight | Scores  |
|------------------------------|--------|---|
| Guarantee/ sureties' payment | 20 %   | <b>&lt; 10 = Poor</b><br><b>10 = Acceptable</b><br><b>15 = Good</b><br><b>15 &lt; = Exceptional</b> |

Service providers will be required to provide guarantees to the Municipality for the contracts to be undertaken. The guarantee requirements are outlined in the SCM Policy adopted by Council.

Assessment will be based on whether the service provider did manage to provide the guarantees on the stipulated timeframe or whether arrangements have been done to deduct the guarantees on the payment certificates.

## SMME Participation

| Description               | Weight      | scores  |
|---------------------------|-------------|---|
| <b>SMME Participation</b> | <b>10 %</b> | <b>8&lt; = poor</b><br><b>8&gt;= acceptable</b> |

For selected contracts service providers will be required to indicate measures they intend to implement if awarded the contract, which could lead to improved conditions in SMME developments.

SMME development requirements will be included in the bid documents as a condition of tender.

Assessment items include:

- (a) Extending sub-contracting opportunities to SMME enterprises.
- (b) Enhancing the business skills of SMME enterprises.
- (c) Providing economic benefits to SMME enterprises.

## Time Management

| Description            | Weight      | Scores  |
|------------------------|-------------|---|
| <b>Time Management</b> | <b>20 %</b> | <b>&lt; 10 = poor</b><br><b>10= acceptable</b><br><b>15= Good</b><br><b>15&lt; =Exceptional</b> |

Contracts should have a contracts period, which is the start of the project and the end of the project. The agreed milestones for intermediate phases of work or services or goods to be provided as well as the completion time for the overall engagement should be met.

The work plan forms the basis for assessing the service provider's ability to manage time.

For some engagements, the only available measure of time management is the completion of the work by the stated completion period.

In assessing the service provider's time management performance the following should be considered:

- (a) Ability to meet programmed milestones,
- (b) Timely allocation of resources to critical activities,
- (c) Updating of the work program to account for unforeseen delays,
- (d) The overall progress of the work,
- (e) Timely submission of meaningful progress reports.

Management and suitability of project personnel

| Description   | Weight      | Scores  |
|---|-------------|---|
| <b>Management and suitability of project personnel.</b> | <b>10 %</b> | <b>8&lt; = poor</b><br><b>8&gt;= acceptable</b> |

The service provider's ability to assign appropriate staff to the project for the duration of the project and then to achieve effective performance including:

- (a) Appropriateness of skills and experience of the personnel assigned to the work,
- (b) Adequacy of the number of personnel assigned to the work.

The team personnel approved by Municipality during evaluation cannot be changed without approval from the project management team, however, addition can be made to enhance the project.

Standard of service.

| Description                 | Weight      | Scores   |
|-----------------------------|-------------|--|
| <b>Standard of service.</b> | <b>20 %</b> | <b>&lt; 10 = poor</b><br><b>15= acceptable</b><br><b>18= Good</b><br><b>20 = Exceptional</b> |

Standard of work will generally be measured against the technical, financial, and reporting requirements set out in the conditions of engagements. In particular, the following should be considered:

- (a) Compliance with the contract or brief,
- (b) Quality of works including conformance with specified criteria, if applicable,
- (c) Achievement of contract standard,
- (d) Adherence to budget,
- (e) Reviews and reports delivered in accordance with the brief,
- (f) Amount of rework required from the service provider,

- (g) Need to engage another service provider to undertake additional or remedial work,
- (h) The extent of involvement required from the consultant or client to achieve the desired standard of work.

#### Cooperative relationships

| Description                       | Weight       | Scores  |
|-----------------------------------|--------------|---|
| <b>Cooperative relationships.</b> | <b>0.5 %</b> | <b>Below 0.5 =poor</b><br><b>0.5 = acceptable</b> |

#### Assessment items include:

- (a) Adoption and commitment to partnering principles with clients, consultants, sub-contractors, and suppliers,
- (b) Commitment and implementation of a management approach that fosters continuous improvements, self-assessments, and general industry standards,
- (c) Commitment to resolving issues through open and effective communication with a non-adversarial approach.

#### Other Categories

Other performance criteria may be included to meet specific requirements in the contract and such additions must be approved by the Municipal Manager.

#### Assessment of service provider`s performance

The service provider`s performance should be assessed against the performance criteria and graded in accordance with the following definitions:

|                | Definition   | Assessment percentage level |
|----------------|--|-----------------------------|
| Better         | Standards often exceed the anticipated goods or services or level of performance                 | >75%                        |
| Acceptable     | Standards often meet the required level of performance   | >60%                        |
| Marginal       | Mostly meets the required level of performance but has some scope for improvement                | >50%                        |
| Unsatisfactory | Well below the required standards, does not meet the level of performance required by the client | <50%                        |

### Overall performance

Assessment of overall performance should reflect the service provider's performance against the criteria stated in the contract document.

### Review and recommendations.

The Reporting Officer and the Reviewing Officer must make every effort to ensure that comments are objective, accurate, can be substantiated, and supported by facts.

The Reviewing Officer is to ensure that the report is objective and accurate so that it can be used reliably for making decisions concerning pre-qualification, selective tender lists, or selection of a tenderer.

### Overall comment

In completing reports, it is important to report on a good performance as well as an unsatisfactory performance. Performance reports form parts of future evaluations; service providers with good reviews should be noted.

Assessment comments should be kept to the facts relating to the contract at hand. Where it is considered necessary to give an opinion, the opinion should be:

- (a) A firsthand opinion,
- (b) Recorded and signed by the reporting Officer,
- (c) Be about the service provider, not the individual,
- (d) Be factual and preferably be supported with relevant documents.

Comments should commence with "in my opinion."

- For example, "in my opinion, the service provider did not provide the suitable experienced leader of the design team" or
- "In my opinion, the management of the sub-contractors was not satisfactory."
  - Comments should refer to the weakness/failure of the service provider and not to individuals, do not use extreme language.
- For Example, "in my opinion, the service provider was totally (or completely) inefficient".
  - It is easy to challenge such opinions, as there may be some area, no matter how small, where the service provider was not inefficient and/ or you are unable to substantiate the inefficiency.
  - Reasons for any opinion should be stated and should refer to the relevant evidence for that opinion.

## Unsatisfactory performance

A service provider's performance may be rated as unsatisfactory in one or more of the assessment criteria or the overall assessment.

By and large, an unsatisfactory overall rating will lead to a recommendation that the service provider be assessed or regarded as unsuitable for further work of a similar nature for Council.

### **Review of performance ratings of "Unsatisfactory"**

Overall assessment satisfactory (but rated unsatisfactory in one or more assessment criteria in one or more performance reports)

The procedure below is to be used where the overall assessment is satisfactory but one or more of the assessment criteria is rated as unsatisfactory, i.e., below 50%.

The service provider must be made aware if its performance is rated as unsatisfactory in any assessment criterion and be given an opportunity to comment on the report in accordance with the following procedure:

- (1) The service provider is to be advised in writing of the assessment and request to respond in writing within a reasonable time, that is 10 working days from the date of transmission.
- (2) The Reviewing Officer considers the service provider's response and the service provider are advised if the unsatisfactory rating (s) is to be retained.

### **Overall assessment unsatisfactory**

Where the overall assessment is unsatisfactory, or the service provider is not recommended for further work of a similar nature for the Municipality the following procedure applies:

- (1) A meeting between the service provider, the Reporting Officer, and Reviewing Officer is to be convened.
- (2) At that meeting, reasons for the scoring seen in the performance report are to be discussed and the discussion minuted.
- (3) The service provider shall be given the opportunity to respond in writing i.e., 10 days after the meeting.
- (4) The service provider's response may provide grounds that would allow the performance report to be adjusted, however doing so is entirely at the discretion of the Reviewing Officer. An adjustment must be evidence-based. The onus is on the Service Provider to produce the evidence.

If upon completion of steps 1 to 4, the service provider's overall assessment remains unsatisfactory, the service provider is to be informed that they seek a further review by Municipality which will be undertaken in accordance with the review process.



**The review process for the Service Provider performance report.**

If, after following the review process described, a performance report rates the service provider's overall performance as unsatisfactory or the service provider is not recommended for further work of a similar nature, the service provider must be allowed to have an independent review as follows:

- (1) The review will be undertaken within a reasonable time, i.e., 10 working days of the receipt of an appeal by the service provider.
- (2) The Officer conducting the review must be a Senior Manager, not associated with the contract concerned.
- (3) Where a Review Committee recommended by the Municipal Manager should be established to deal with issues of reviews.
- (4) The Review Committee will consist of 3 senior officers and should include an independent representative, for example, an official from another sphere of Government.
- (5) Politicians shall not be allowed to form part of the review processes.
- (6) The evidence provided by all parties; such be considered for decision making.
- (7) The committee will have powers to seek evidence, do investigative work and request any information either in person or writing from all parties.
- (8) The committee will have in its powers to recommend findings to MPAC or Treasury through the Municipal Manager if wrongdoing is detected.
- (9) The service provider will need to be notified of the outcome of the review within 10 days of the review, where the performance report is changed because of the review, an adjusted copy of the report is to be given to the service provider.

**End of contract performance report (close out report)**

The end of contract performance report will be an important source of data for the evaluation of the performance of a service provider. For this reason, the final report should reflect the performance of the service provider throughout the entire contract period.

When performance is unsatisfactory, concise supporting evidence and all relevant details of the unsatisfactory performance must accompany the report.

All performance reports must be accompanied by documentary evidence supporting the reports, including minutes of meetings with the service provider.

### Management of information exchange

In the Contracts Management unit, the performance section will be the custodian of all performance management information.

The information may be exchanged with other spheres of government and the service provider must be notified when entering a contract.

Confidentiality of the information will be maintained, and the exchange of information will be done only when approved by the accounting officer.

### Commencement

This policy takes effect on the date it is adopted by the Council and shall be reviewed annually or when the need arises.

This policy will be reviewed on an annual basis.

| <b>POLICY SECTION:</b>         | <b>CONTRACT MANAGEMENT UNIT (CMU)</b> |
|--------------------------------|---------------------------------------|
| Department:                    | Budget and Treasury Office            |
| <b>Current-Effective Date:</b> | <b>01- July-2025</b>                  |
| <b>Adopted by Council</b>      |                                       |
| <b>Council Resolution</b>      |                                       |
|                                |                                       |
| <b>Current-Effective Date:</b> | <b>01- July-2024</b>                  |
| <b>Adopted by Council</b>      | <b>27 March 2024</b>                  |
| <b>Council Resolution</b>      | <b>A22/03/24</b>                      |
|                                |                                       |
| <b>Current-Effective Date:</b> | <b>01- July-2023</b>                  |
| <b>Adopted by Council</b>      | <b>29- May-2023</b>                   |
| <b>Council Resolution</b>      | <b>A06/05/23</b>                      |
|                                |                                       |

## ANNEXURE A - REPORT TO COUNCIL: PERFORMANCE OF SERVICE PROVIDERS

|   |                              |  |                                     |
|---|------------------------------|--|-------------------------------------|
| FINANCIAL YEAR:   |                              |  |                                     |
|   |                              |  |                                     |
| No of service providers assessed:                       |                              |  |                                     |
| No of service providers contracted to the municipality: |                              |  |                                     |
|   |                              |  |                                     |
| Assessment Criteria<br>(grouped by Sector)              | No of poor<br>performance    | No of accepted<br>performance            | No of<br>outstanding<br>performance |
|   |                              |  |                                     |
|   |                              |  |                                     |
| List of KPI<br>(grouped by sector and project)          | Achieved                     |  | Not Achieved                        |
|   |                              |  |                                     |
|   |                              |  |                                     |
| Interventions developed for areas of poor performance:  |                              |  |                                     |
|   |                              |  |                                     |
|   |                              |  |                                     |
| List Service providers that underperformed:             | Area of poor<br>performance: | Attended Intervention ( Tick box if yes) |                                     |
|   | •                            | •  | <input type="checkbox"/>            |
|   |                              |  |                                     |

|  |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
|--|--|--|--|--|--|--------------------------|------------------------------------|--------------------------|------------------------------------|--------------------------|------------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---|
| <b>General information</b>   |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Contract Number  |  |  |  |  | Department                                 |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Service Provider's name  |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Sector   |  |  |  |  | Unit                                       |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Contract description   |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Contract Period (months)   |  |  |  |  | Original Due Date for Practical Completion |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Date of Acceptance of Tender   |  |  |  |  | Original Contract Sum                      |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
|  |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| <b>Reason for report</b>   |  |  |  |  | <b>Financial Year:</b>                     |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Practical Completion <input type="checkbox"/>                        |  |  |  |  | Assessment Report                          |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Quarterly Assessment   |  |  |  |  | Quarter 1 <input type="checkbox"/>         |                          | Quarter 2 <input type="checkbox"/> |                          | Quarter 3 <input type="checkbox"/> |                          | Quarter 4 <input type="checkbox"/> |                          |                          |                          |                          |   |
| Monthly Assessment   |  |  |  |  | J  | F                        | M                                  | A                        | M                                  | J                        | J                                  | A                        | S                        | O                        | N                        | D |
|  |  |  |  |  | <input type="checkbox"/>                   | <input type="checkbox"/> | <input type="checkbox"/>           | <input type="checkbox"/> | <input type="checkbox"/>           | <input type="checkbox"/> | <input type="checkbox"/>           | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |   |
| <b>Contract progress</b>   |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Extended Date for Practical Completion                               |  |  |  |  | Total Extensions of Time Approved (days)   |                          |                                    | (calculated)             |                                    |                          |                                    |                          |                          |                          |                          |   |
| Percentage of Work Completed at Report Date                          |  |  |  |  | Actual Date of Practical Completion        |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Contract Sum as Varied at Report Date                                |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
|  |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| <b>Service Provider's performance</b>                                |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
|  |  |  |  |  | <b>Poor</b>                                |                          |                                    | <b>Accepted</b>          |                                    |                          | <b>Outstanding</b>                 |                          |                          |                          |                          |   |
| Assessment Criteria  |  |  |  |  | <input type="checkbox"/>                   |                          |                                    | <input type="checkbox"/> |                                    |                          | <input type="checkbox"/>           |                          |                          |                          |                          |   |
|  |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| KPIs   |  |  |  |  | <b>Achieved</b>                            |                          |                                    | <b>Not Achieved</b>      |                                    |                          |                                    |                          |                          |                          |                          |   |
|  |  |  |  |  | <input type="checkbox"/>                   |                          |                                    | <input type="checkbox"/> |                                    |                          |                                    |                          |                          |                          |                          |   |
|  |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| <b>Overall comments</b> <i>(attach separate sheets if necessary)</i> |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| <b>■ Reporting Officer</b>   |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| <i>In my opinion:</i>  |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
|  |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
|  |  |  |  |  |  |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Name <i>(please print)</i>   |  |  |  |  | Contact Number                             |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |
| Signature  |  |  |  |  | Date                                       |                          |                                    |                          |                                    |                          |                                    |                          |                          |                          |                          |   |

|   |  |
|---|--|
|   |  |
| <b>■ Reviewing Officer</b>  |  |
| <i>I concur</i> <input type="checkbox"/> <i>I do not concur</i> <input type="checkbox"/> <i>with the Reporting Officer's assessment detailed in the report.</i> |  |
| In my opinion:  |  |
|   |  |
|   |  |
|   |  |
| The report has been forwarded to the Service Provider <input type="checkbox"/> Yes <input type="checkbox"/> No  |  |
| Recommended for further work<br>(to be answered on completion of contract)  | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| If the recommendation is No, the unsatisfactory performance aspects have been discussed with:   |  |
| Minutes of meeting and Service Provider's response attached<br><input type="checkbox"/> Yes <input type="checkbox"/> No   |  |
| Name of Service Provider's representative <i>(please print)</i>   |  |
| Contact number  | Date of Discussion                                       |
| Signature   | Date   |